

FORM PTO-1390
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

644P003

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/510264

INTERNATIONAL APPLICATION NO.
PCT/KR03/02619INTERNATIONAL FILING DATE
1 December 2003PRIORITY DATE CLAIMED
7 March 2003TITLE OF INVENTION METHOD FOR CONTINUOUS PREPARATION OF NANOMETER-SIZED
HYDROUS ZIRCONIA SOL

APPLICANT(S) FOR DO/EO/US

Hee Young Kim, Yong Ki Park, Kyung Koo Yoon and Hyung Sup Lim

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 1. Copy of the International Search Report;
 2. Copy of Form PCT/IB/304; and
 3. PCT Request (5-Pages).

| | | | | | |
|---|--|--|--|-------------------------------------|--|
| U.S. APPLICATION NO. (known, see 37 CFR 1.51) 10/510264 | | INTERNATIONAL APPLICATION NO. PCT/KR03/02619 | | ATTORNEY'S DOCKET NUMBER 644P003 | |
|---|--|--|--|-------------------------------------|--|

| | | | | | |
|--|--------------|--------------|------------|----------------------------------|----|
| 21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ - | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ 1,110.00 | |
| Total claims | 16 - 20 = | 0 | x \$ 18.00 | \$ 0 | |
| Independent claims | 1 - 3 = | 0 | x \$ 88.00 | \$ 0 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$300.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,110.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 555.00 | |
| SUBTOTAL = | | | | \$ 555.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ - | |
| TOTAL NATIONAL FEE = | | | | \$ 555.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 595.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |

a. ☒ A check in the amount of \$ 555.00 & 40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 14-0930. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)
 or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Nields & Lemack
 176 E. Main Street
 Suite 7
 Westboro, MA 01581

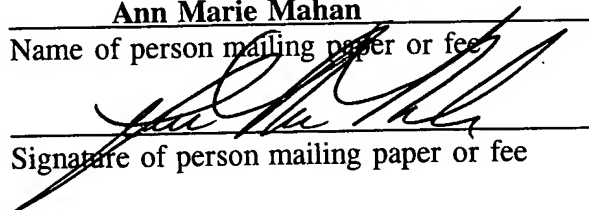

 SIGNATURE
 Kevin S. Lemack
 NAME
 32,579
 REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Letter

"EXPRESS MAIL" MAILING LABEL NO ER 908004201 US
DATE OF DEPOSIT: October 5, 2004

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Mail Stop: PCT, Alexandria, VA 22313-1450.

Ann Marie Mahan
Name of person mailing paper or fee

Signature of person mailing paper or fee

10/510264

DT05 Rec'd PCT/PTO 05 OCT 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

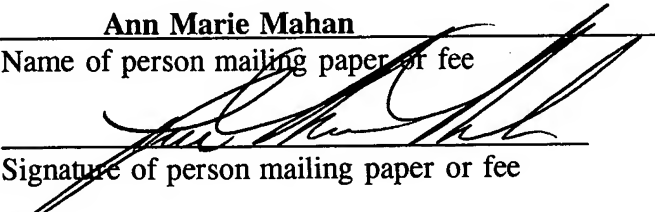
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Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Copy of the Notification Concerning Submission or Transmittal of
Priority Documents (Form PCT/IB/304)

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Ann Marie Mahan

Name of person mailing paper or fee


Signature of person mailing paper or fee

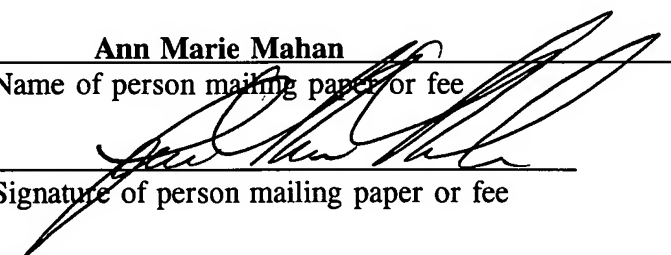
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Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Assignment

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

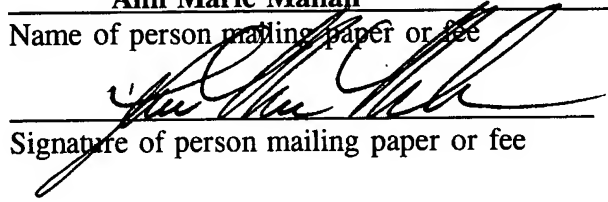
Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Recording Fee

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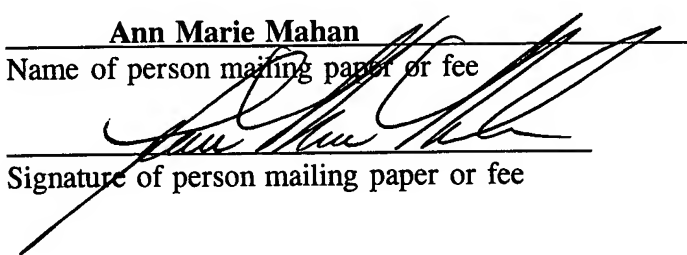
Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Copy of the International Search Report

"EXPRESS MAIL" MAILING LABEL NO ER 908004201 US
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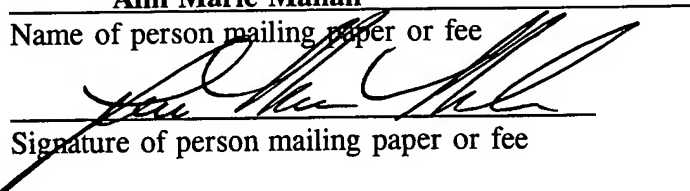
Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Filing Fee

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DATE OF DEPOSIT: October 5, 2004

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Ann Marie Mahan

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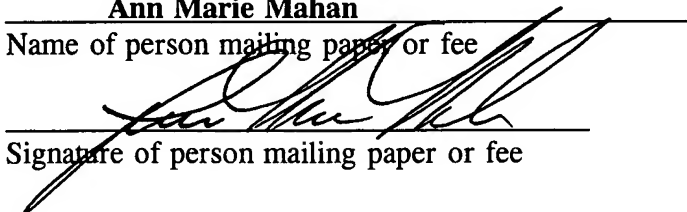
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Executed Declaration and Powers of Attorney

"EXPRESS MAIL" MAILING LABEL NO ER 908004201 US
DATE OF DEPOSIT: October 5, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

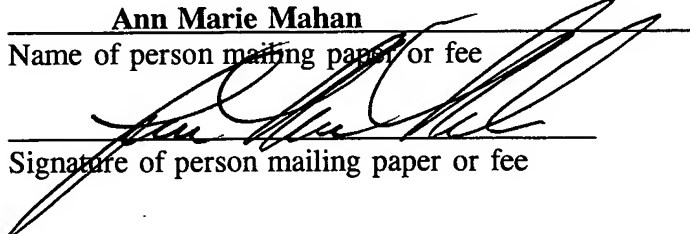
Applicants : Hee Young Kim et al.
Serial No. : Not yet assigned (PCT/KR03/02619)
Filed : Herewith By Express Mail (1 December 2003)
For : METHOD FOR CONTINUOUS PREPARATION OF
NANOMETER-SIZED HYDROUS ZIRCONIA SOL
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney :
Docket No. : 644P003
Type of paper : Preliminary Amendment

"EXPRESS MAIL" MAILING LABEL NO ER 908004201 US
DATE OF DEPOSIT: October 5, 2004

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Mail Stop: PCT, Alexandria, VA 22313-1450.

Ann Marie Mahan

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Signature of person mailing paper or fee

DT05 Rec'd PCT/PTO 05 OCT 2004

Attorney Docket No. 644P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hee Young Kim, Yong Ki Park, Kyung Koo Yoon and
Hyung Sup Lim**

For: **METHOD FOR CONTINUOUS PREPARATION OF NANOMETER-SIZED
HYDROUS ZIRCONIA SOL**

Mail Stop: PCT
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:


LETTER

The above-identified applicants enclose for filing the national phase of a PCT patent application (PCT/KR03/02619) entitled "METHOD FOR CONTINUOUS PREPARATION OF NANOMETER-SIZED HYDROUS ZIRCONIA SOL". Said attached PCT patent application includes (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2-Pages); (2) Translation of the International Application into English: 5-Page Request, 48-Page Specification (including 5-Pages of Claims (16) and 1-Page Abstract) and 11-sheets of A4 size formal drawings; (3) Executed Declaration (3-Pages) and Powers of Attorney (4-Pages); (4) Preliminary Amendment (3-Pages); (5) Check in the amount of \$555.00 in payment of the Filing Fee; (6) Copy of the International Search Report (3-pages); (7) Recordation Form Cover Sheet (1-Page), Assignment (2-Pages); (8) Check in the amount of \$40.00 in payment of the Recording Fee; and (9) Copy of the Notification Concerning Submission of Priority Document (Form PCT/IB/304)(1-Page).

All correspondence concerning this application should be mailed to: **CUSTOMER NO: 42754**

Kevin S. Lemack
Nields & Lemack
176 E. Main Street - Suite 7
Westboro, Massachusetts 01581

Respectfully submitted,


Kevin S. Lemack
Attorney for Applicant
Registration No. 32,579

Date: **October 5, 2004**

EXPRESS MAIL NO: ER 908004201 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

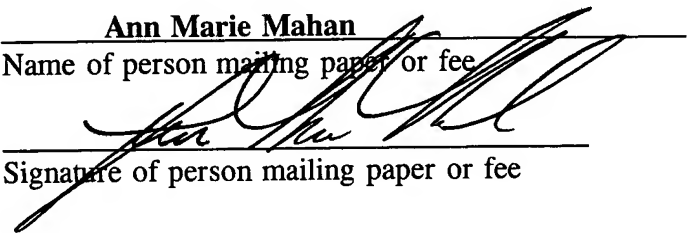
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Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Transmittal Letter to the United States Designated/Elected Office
(DO/EO/US) Concerning A Filing Under 35 U.S.C. 371

"EXPRESS MAIL" MAILING LABEL NO ER 908004201 US
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Ann Marie Mahan

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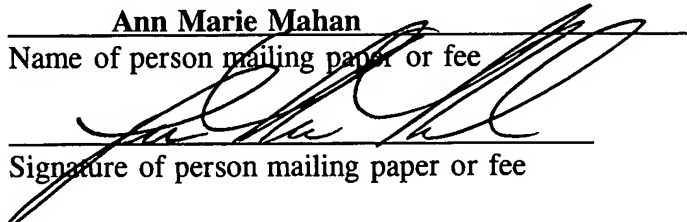
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Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 644P003
Type of paper : Translation of the International Application into English

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